

Format of Quarterly Compliances Report on Corporate Governance

Name of the Company : **Oricon Enterprises Limited**

Quarter ending on : SEPTEMBER 30, 2015

Particulars	Clause of Listing Agreement	Compliance Status Yes/ No/N.A	Remarks
II. Board of Directors	49(II)		
(A)Composition of Board	49(IIA)	YES	
(B)Independent Director	49(IIB)	YES	
(C)Non-executive Director's Compensation & disclosures	49(IIC)	YES	
(D)Others Provisions as to Board and Committees	49(IID)	YES	
(E)Code of Conduct	49(IIE)	YES	
(F)Whistle Blower Policy	49(IIF)	YES	
III. Audit Committee	49(III)	YES	
(A)Qualified & Independent Audit Committee	49(IIIA)	YES	
(B)Meeting of Audit Committee	49(IIIB)	YES	
(C)Powers of Audit Committee	49(IIIC)	YES	
(D)Role of Audit Committee	49(IIID)	YES	
(E)Review of Information by Audit Committee	49(IIIE)	YES	
IV. Nomination and Remuneration Committee	49(IV)	YES	
V. Subsidiary Companies	49(V)	YES	
VI. Risk management	49(VI)	YES	
VII. Related Party Transactions	49(VII)	YES	
VIII. Disclosure	49(VIII)	YES	
(A)Related Party Transaction	49(VIIIA)	YES	
(B)Disclosure of Accounting Treatment	49(VIIIB)	YES	
(C)Remuneration of Directors	49(VIIIC)	YES	
(D)Management	49(VIIID)	YES	
(E)Shareholders	49(VIIIE)	YES	

(f) Proceeds from Public issues, Rights issue, Preferential issue, etc.	49(VIIF)	YES	During the Quarter ended 30 th September, 2015, the Company has not issued any Equity Shares through a public issue or right issue or preferential issue. The Company discloses to Audit Committee uses and application of funds raised through an issue.
IX. CEO/CFO Certification	49(IX)	YES	
X. Report on Corporate Governance	49(X)	YES	
XI. Compliance	49(XI)	YES	